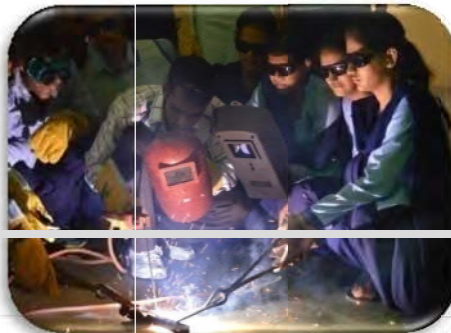


*BRBCL Footprints:
Power with CSR & Sustainability*



**BRBCL POLICY
For
CSR & Sustainability**



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1.0 Preamble

Bhartiya Rail Bijlee Company Limited (BRBCL) is a subsidiary of NTPC Ltd. in JV with Indian Railways with equity participation of 74 % and 26 % respectively. JV Agreement was signed on 06.11.2007 and the company was incorporated on 22.11.2007. BRBCL is presently setting up a 1000 MW (4 x 250 MW) thermal power plant at Nabinagar in the name of Nabinagar Thermal Power Project (NTPP), Distt. Aurangabad, Bihar. Project site is situated on State highway which is 25 km south of NH-2 from Barun, 9 Km from 'Nabinagar Road' Railway Station and 100 Km from Gaya Airport. Water requirement for the project is 60 cusec which is allocated from upper stream of Sone River (Indrapuri Barrage). Coal requirement for the project is 5 MTPA. The coal linkage of 5 MTPA has been accorded from Pachra & Pachra south block, North Karnpura coalfields of CCL which is approx. 215km from project site. The beneficiaries for the power generated from NTPP are : Indian Railways - 90 % and Bihar State - 10 %. PPA has been signed with both of the beneficiaries.

Committed to inclusive growth and sustainable development with special focus on the communities in the neighborhood of its operations, BRBCL has set-out the following Corporate Objectives on CSR & Sustainability.

Corporate Objectives on CSR & Sustainability.

- *"To contribute to sustainable power development by discharging corporate social responsibilities"*
- *"To Contribute in the areas of resettlement & rehabilitation and environment protection including effective ash-utilization, peripheral development and energy conservation practices"*

BRBCL endeavors to improve the quality of life in neighborhood community through community development initiative under **Policy for Corporate Social Responsibility (CSR) & Sustainability**.

2.0 COMMITMENT for CSR & SUSTAINABILITY

"BRBCL commits itself to contribute to the society, discharging its corporate social responsibilities through initiatives that have positive impact on society at large, especially the community in the neighbourhood of its operations by improving the quality of life of the people, promoting inclusive growth and environmental sustainability"

3.0 Guiding Principles

- Strive to improve standard of living of society at large, with preference to local and backward areas.
- Promote inclusive growth by focusing on needs of the deprived, under privileged, neglected and weaker sections of the society especially women, girl child, physically challenged and elderly persons.
- Contribute towards clean and sustainable development by protecting & maintaining the environment (air, soil and water), conserve natural resources, support biodiversity conservation and promote renewable energy.
- Integrate CSR & Sustainability initiatives with National Development plans.

4.0 Scope and Coverage

- This Policy for CSR & Sustainability applies to formulation, implementation, Monitoring, evaluation, documentation, reporting of CSR and sustainability activities taken up by BRBCL in Local Area/immediate vicinity of its stations/locations.
- The Geographical limits of a district & adjacent area within 10 km shall be considered as “local area” for CSR activities. In addition to the CSR activities in the local areas, BRBCL shall also undertake CSR activities outside it. The indicative ratio of CSR spends between the local areas and outside would be approximately 70:30. However, projects/ activities executed under the directives of Government of India or of foremost concern in the national development agenda will be outside the purview of this ratio.
- As per section 135 (3), the CSR committee is authorized to approve any project, irrespective of the amount involved, which is beyond the above ratio.
- BRBCL may take up CSR activities in areas beyond the district depending on specific requirements like natural calamity, requirements for key communities, type and nature of projects, Gol directive and other strategic activities.
- This policy does not cover activities undertaken in pursuance of BRBCL 's normal course of business and activities exclusively for benefit of BRBCL's employees or their family, as the same are not considered as CSR & Sustainability activities.
- This policy is intended to be in conformity with the provisions of Companies Act 2013. In case of any contradiction between this policy and provisions of the Companies Act, the latter shall prevail.
- Any new provision arising out of amendments to Companies Act, 2013 or rules made there under shall be construed to be a part of this policy. However, such new provisions shall be specifically incorporated in the policy.

5.0 Mechanism and Process

Towards fulfillment of its commitments for CSR and Sustainability and implementation of its Policy for CSR & Sustainability, mechanism adopted by BRBCL is as detailed below:

5.1 Structure

The structure already in place, and described here about shall continue:

- 5.1.1 The Board level Corporate Social Responsibility Committee comprising at least three Directors with at least one Independent Director (if available on the Board), recommends to the Board for approval, the amount of expenditure to be incurred on the activities and monitor from time to time the Policy for Corporate Social Responsibility approved by the Board. Single-tier structure i.e. Nabinagar Thermal Power Project is responsible for planning, implementation, monitoring and reporting on CSR & Sustainability projects.

5.1.2 BRBCL shall encourage volunteering by its employees and their family members. Employees NGOs under the banner " Employee Voluntary Organization for Initiatives in Community Engagement (EVOICE)" shall also facilitate planning and implementation of the identified CSR & Sustainability projects, complementing the CSR & Sustainability initiatives of BRBCL.

6.0 Programme

6.1 CSR & Sustainability programs undertaken by BRBCL include activities specified in Schedule VII of the Companies Act 2013 & rules made there under and any other activity for benefit of community at large. An Indicative list of CSR & Sustainability programs / projects of BRBCL is given at **Annexure-I**.

6.2 Focus areas of BRBCL CSR & Sustainability activities are Health, Sanitation, Drinking Water, Education, Capacity Building, Women Empowerment, Social Infrastructure Development, support to Physically Challenged Person (PCPs), and activities contributing towards Environment Sustainability.

Preference for CSR & Sustainability activities is given to local areas (within the district) around BRBCL 's operations, ensuring that majority CSR funds are spent for activities in local areas. However, considering Inclusive Growth & Environment Sustainability and to supplement Government effort, activities are taken up anywhere in Bihar.

7.0 Fund Allocation & Expenditure

7.1 In line with the requirement of the Companies Act 2013, from financial year 2014-15, BRBCL Board has approved to spend, two percent (2.0%) of the average net profits (to be calculated in accordance with the provisions of the Companies Act) made during the three immediately preceding financial years, for CSR & Sustainability activities.

7.2 Funds allocated are earmarked for CSR & Sustainability programs/ activities approved by CSR & Sustainability Committee. BRBCL endeavours to fully spend the funds annually allocated for CSR & Sustainability activities/ projects.

7.3 The unspent CSR amount for any year shall be allocated in accordance with section 135 and schedule VII of the act read with applicable rules. Such an amount should be transferred within the time limit as prescribed.

7.4 Surplus arising out of any CSR & Sustainability projects/ activities does not form part of business profit of BRBCL.

8.0 **Planning & Implementation**

- 8.1 CSR & Sustainability projects/ activities are undertaken with a view to achieve improvement in living standard of communities. Accordingly, plans are made to achieve these goals and activities to be undertaken on annual basis at each location are identified.
- 8.2 CSR & Sustainability projects/ activities are generally formulated based on Need Assessment Surveys (NAS) and/or inputs from Panchayat, district administration, neighbourhood community and various stakeholders including public representatives, Village Development Advisory Committee (VDAC) and other participatory forums etc.
- 8.3 The plans may be dovetailed with specific government programmes to achieve the desired targets. Adequate care is taken to ensure that there is no duplication of CSR & Sustainability projects with that of government's programmes.
- 8.4 As far as possible CSR & Sustainability activities are taken up in project mode. Every effort is made to ensure that approved activities are implemented within the schedule. Once an activity is approved and funds are allocated, the activity is continued and is carried forward in subsequent years until completed.
- 8.5 Participation of Panchayat, community & local authorities is encouraged during planning, implementation & monitoring of CSR & Sustainability projects, for their acceptance, support & recognition of CSR initiatives.
- 8.6 CSR & Sustainability activities are implemented through specialized and experienced agencies such as Government / Semi-Govt. Organizations, Educational/ Academic/Autonomous Institutions, Non-Government Organizations (NGO), Employee Volunteering Organizations (EVOICE), Trusts, Self Help Groups (SHG), Professional Consultancy Organizations, Contracting Agencies etc.

9.0 **Monitoring, Evaluation & Reporting**

9.1 **Monitoring:**

Monitoring is done to ensure timely completion of activities. Regular reviews are done at Unit Level, wherein bottlenecks are identified and remedial measures are taken.

Periodic MIS on status and issues of CSR & Sustainability activities is put up to appropriate level and intervention is sought wherever required. Review of companywide CSR & Sustainability activities and budget utilization is done at CSR Committee level.

9.2 Evaluation:

Effectiveness of CSR & Sustainability programme is assessed through both internal & external evaluation. Internal audits are carried out to verify implementation. Social Impact Evaluation (SIE) is done through credible external agencies for gauging impact of CSR & Sustainability initiatives. Findings of SIE form the basis for initiating corrective actions and formulating future schemes/plans. Further, Impact Assessment would be conducted on a periodic basis, through CSR team of NTECL and independent agencies, if applicable on the company as per the provisions of section 135 and read with the Companies (Corporate Social Responsibility Policy) Rules, 2014.

9.3 Reporting:

CSR & Sustainability activities undertaken by BRBCL are disseminated to the stakeholders through Company's Annual Report. This report is made available in public domain by uploading them on BRBCL website and to internal stakeholders through intranet.

9.4 Communication to Stakeholders:

The Policy for CSR & Sustainability is uploaded on BRBCL's website and intranet for access to external & internal stakeholders.

CSR & Sustainability initiatives are communicated to Stakeholders through local/national print & visual media, conferences, workshops and other forums. Internal workshops, training, news bulletins, brochures, intranet etc. are extensively used to create awareness about CSR & Sustainability initiatives, among internal stakeholders.

10.0 Review

- Any new provision arising out of amendments to the Companies Act, 2013 in the Companies (CSR Policy) Amendment Rules 2021, 2022 framed there under, shall be construed to be part of this policy.
- In case of any contradiction between the policy and the CSR provisions of Companies (CSR Policy) Amendment Rules 2021, 2022, under companies act, 2013, the later shall prevail.
- The policy shall be reviewed every three years or sooner if necessitated by changes in business and regulatory framework.

ANNEXURE - I

Indicative list of CSR & Sustainability projects/ programs/ activities

Item No	Schedule VII	CSR & Sustainability projects/ programs/ activities
i	Eradicating hunger, poverty and malnutrition, promoting preventive health care and sanitation and safe drinking water.	<ul style="list-style-type: none"> • Mobile Health Clinics, Medical Camps, training/ awareness programs on health, sanitation etc. • Infrastructure / assets for hospitals / PHCs etc. • Support for Tuberculosis (TB) Control Program • Disability Rehabilitation Centre (DRC) • Community/ individual toilets, drains etc. • Water supply systems like piped water, hand pumps/ tube wells, bore wells, etc. • Supply of drinking water
ii	Promoting education, including special education and employment enhancing vocational skills especially among children, women, elderly and the differently abled and livelihood enhancement projects	<ul style="list-style-type: none"> • Distribution of study materials, Scholarships / financial assistance to meritorious students • Infrastructure / assets in Govt./Govt. funded and other schools that charge equivalent fees • Infrastructure for education, Skill Development Centers, Industrial Training Institutes etc. • Vocational trainings, Adult Education, Coaching classes, Awareness programs • Formation of Self-Help Groups • Support for agriculture produce/ business. • Medical / Veterinary camps for livestock
iii	Promoting gender equality, empowering women, setting up homes and hostels for women and orphans, setting up old age homes, day care centers and such other facilities for senior citizens and measures for reducing inequalities faced by socially and economically backward groups.	<ul style="list-style-type: none"> • Vocational Training, Formation of women SHGs • Adult Education / Awareness Programs / Workshops/ seminars on Gender Equality • Distribution of Bicycle for women empowerment • Infrastructure development of homes / hostels for women, orphans, old age etc. • Other measures for reducing inequalities faced by socially and economically backward groups
iv	Ensuring environmental sustainability, ecological balance, protection of flora and fauna, animal welfare, agro forestry, conservation of natural resources and maintaining quality of soil, air and water including contribution to the Clean Ganga Fund setup	<ul style="list-style-type: none"> • Activities for protecting & maintaining environment (Air, Soil and Water). • Initiate & support measures to conserve natural resources (by reduction, reuse and recycling), optimize usage of renewable energy, increase energy efficiency and reduce GHG emissions. • Activities for Biodiversity Conservation. protection of Fauna & Flora & restoring ecological balance.

	by the Central Government for rejuvenation of river Ganga.	<ul style="list-style-type: none"> • Promoting Animal Welfare & Agro Forestry. • Awareness / training programs / workshops/ seminars on sustainable development. • Cleaning of water bodies, rivers in and around BRBCL projects.
v	Protection of national heritage, art and culture including restoration of buildings and sites of historical importance and works of art; setting up public libraries; promotion and development of traditional arts and handicrafts.	<ul style="list-style-type: none"> • Rural cultural meets. • Programs for Protection of national heritage Including restoration of buildings and sites of historical importance • Protection, promotion and development of traditional arts & culture, handicrafts etc.
vi	Measures for the benefit of armed forces veterans, war widows and their dependents	<ul style="list-style-type: none"> • Activities for the benefit of armed forces veterans. War widows and their dependents
vii	Training to promote rural sports, nationally recognized sports, Paralympics sports & Olympic sports.	<ul style="list-style-type: none"> • Rural sports. competitions • Coaching camps, distribution of Sports kit • Promotion of traditional / nationally recognized sports, Paralympics sports & Olympic sports. • Sports related infrastructure
viii	Contribution to PM's National Relief Funds or Prime Minister's Citizen Assistance and Relief in Emergency Situations Fund (PM CARES Fund) other similar funds set up by the central government for socio economic development and relief and welfare of the Scheduled Castes, the Scheduled Tribes, other backward classes, minorities and women;	<ul style="list-style-type: none"> • Relief to victims of Natural Calamities like earthquake, cyclone, drought, fire & flood • Activities for support to welfare of the Scheduled Castes, the Scheduled Tribes, backward classes, minorities and women • Contribution to CM's Relief Fund
ix	(a)Contribution to incubators or research and development projects in the field of science, technology, engineering and medicine, funded by the Central Government or State Government or Public Sector Undertaking or any agency of the Central Government or State Government; and	<ul style="list-style-type: none"> • Support for technology incubators
	(b) Contributions to public funded Universities; Indian Institute of Technology (IITs); National Laboratories and autonomous bodies established under Department of Atomic Energy (DAE); Department of	

	Biotechnology (DBT); Department of Science and Technology (DST); Department of Pharmaceuticals; Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH); Ministry of Electronics and Information Technology and other bodies, namely Defense Research and Development Organisation (DRDO); Indian Council of Agricultural Research (ICAR); Indian Council of Medical Research (ICMR) and Council of Scientific and Industrial Research (CSIR), engaged in conducting research in science, technology, engineering and medicine aimed at promoting Sustainable Development Goals (SDGs)	
X	Rural development projects.	<ul style="list-style-type: none"> • Construction/ Renovation of: <ul style="list-style-type: none"> o Community Centers, Bus shelters o Internal I approach Roads, culverts, etc. o Ponds/ lakes etc. • Renewable energy projects • Strengthening Electrical infrastructure • Other Social Infrastructure
Xi	Slum area development.	<ul style="list-style-type: none"> • Activities for slum area development
xii	Disaster management, including relief, rehabilitation, and reconstruction activities	

Note* Any other activities based on need & requirement of the stakeholders could be taken up in-line with the provision of Companies Act 2013.

Abbreviations

CSR- Corporate Social Responsibility

DRC-Disability Rehabilitation Centre

EVOICE-Employee Voluntary Organization for Initiatives in Community Engagement

GHG-Green House gases

MIS- Management Information System

NAS- Need Assessment Surveys

NGO-Non Government Organizations PCP-

Physically Challenged

PHC-Person Primary Health Centre

SHG-Self Help Groups

SIE-Social Impact Evaluation

VOHC-Village Development Advisory Committee

NTPC BHAWAN, CORE-7, SCOPE COMPLEX, 7 INSTITUTIONAL AREA, LODHI ROAD, NEW DELHI-110003

Contact Details:

E-mail:.....; Phone No.:.....